

\mathcal{C} -varieties and first-order logic with modular predicates

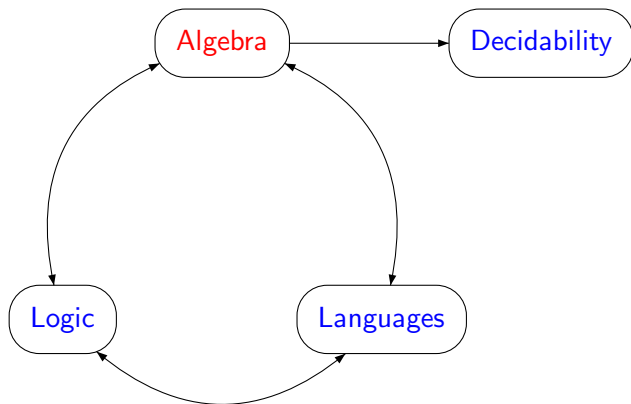
Laura Chaubard

Joint work with J.E. Pin and H. Straubing

LIAFA, Paris 7 and CNRS

Szeged, October 2006

The main idea



Part I

Varieties and \mathcal{C} -Varieties

Classify rational languages

Eilenberg's varieties theory aims at classifying rational languages according to the properties of their (ordered) **syntactic monoid**.

\mathcal{C} -varieties \longrightarrow syntactic morphism

Classify rational languages

Eilenberg's varieties theory aims at classifying rational languages according to the properties of their (ordered) **syntactic monoid**.

\mathcal{C} -varieties \longrightarrow **syntactic morphism**

Stutter-invariant languages

- Boolean combinations of languages of the form

$$a_0^+ a_1^+ \cdots a_n^+, \text{ avec } a_0, \dots, a_n \text{ in } A$$

- A language L is stutter-invariant iff, for all **letter** a ,

$$a \sim_L a^2$$

Problem: Given the syntactic monoid of a language, one cannot distinguish elements that are congruence classes of **letters**.

Stutter-invariant languages

- Boolean combinations of languages of the form

$$a_0^+ a_1^+ \cdots a_n^+, \text{ avec } a_0, \dots, a_n \text{ in } A$$

- A language L is stutter-invariant iff, for all **letter** a ,

$$a \sim_L a^2$$

Problem: Given the syntactic monoid of a language, one cannot distinguish elements that are congruence classes of **letters**.

Stutter-invariant languages

- Boolean combinations of languages of the form

$$a_0^+ a_1^+ \cdots a_n^+, \text{ avec } a_0, \dots, a_n \text{ in } A$$

- A language L is stutter-invariant iff, for all **letter** a ,

$$a \sim_L a^2$$

Problem: Given the syntactic monoid of a language, one cannot distinguish elements that are congruence classes of **letters**.

Let \mathcal{C} be a class of morphisms of the form $f: A^* \rightarrow B^*$, closed under composition.

A **\mathcal{C} -variety of languages** is a class of rational languages

- 1 closed under Boolean operations,
- 2 closed under residuals,
- 3 for any morphism $f: A^* \rightarrow B^*$ that belongs to the class \mathcal{C} , and $L \subseteq B^*$,

$$L \in \mathcal{V} \Rightarrow f^{-1}(L) \in \mathcal{V}$$

The class \mathcal{C}

We consider certain classes of morphisms of the form

$$f : A^* \rightarrow B^*$$

closed under composition, and containing all length-preserving morphisms.

- *lp* is the class of all **length-preserving** morphisms, i.e. morphisms $\varphi : A^* \rightarrow B^*$ such that $\varphi(A) \subseteq B$
- *ne* is the class of all **non-erasing** morphisms, i.e. $\varphi(A) \subseteq B^+$,
- *lm* is the class of all **length-multiplying** morphisms, i.e. there exists an integer $k > 0$, such that $\varphi(A) \subseteq B^k$,
- *all* is the class of all morphisms,

Positive \mathcal{C} -varieties of languages

Let \mathcal{C} be one of the classes of morphisms defined before. A **positive \mathcal{C} -variety of languages** is a class of rational languages

- 1 closed under finite union and intersection,
- 2 closed under residuals,
- 3 closed under inverse of morphisms from \mathcal{C} .

Examples

- 1 Stutter-invariant languages form an lp -variety of languages.
- 2 Languages of generalized star-height $\leq n$ form an lp -variety of languages.

Examples

- 1 Stutter-invariant languages form an lp -variety of languages.
- 2 Languages of generalized star-height $\leq n$ form an lp -variety of languages.

Examples (2)

- All finite unions of languages of the form

$$A^* a_1 A^* \dots a_k A^*$$

with $k \geq 0$ and a_1, \dots, a_k letters from A , form a positive *all*-variety of languages denoted \mathbf{J}^+ .

- Boolean combination of languages of \mathbf{J}^+ form an *all*-variety of languages denoted \mathbf{J} .

Examples (2)

- All finite unions of languages of the form

$$A^* a_1 A^* \dots a_k A^*$$

with $k \geq 0$ and a_1, \dots, a_k letters from A , form a positive *all-variety* of languages denoted \mathbf{J}^+ .

- Boolean combination of languages of \mathbf{J}^+ form an *all-variety* of languages denoted \mathbf{J} .

A new syntactic invariant

- A **stamp** is a onto morphism from a finitely-generated free monoid onto a finite monoid $\varphi : A^* \rightarrow M$.
- **The syntactic stamp** of a rational language $L \subseteq A^*$ is the natural morphism

$$\varphi : A^* \rightarrow M(L)$$

- **The ordered syntactic stamp** of a rational language $L \subseteq A^*$ is the natural morphism

$$\varphi : A^* \rightarrow (M(L), \leq_L)$$

A new syntactic invariant

- A **stamp** is a onto morphism from a finitely-generated free monoid onto a finite monoid $\varphi : A^* \rightarrow M$.
- **The syntactic stamp** of a rational language $L \subseteq A^*$ is the natural morphism

$$\varphi : A^* \rightarrow M(L)$$

- **The ordered syntactic stamp** of a rational language $L \subseteq A^*$ is the natural morphism

$$\varphi : A^* \rightarrow (M(L), \leq_L)$$

Example: the Im -variety **MOD**

- Consider the class of languages whose syntactic stamp is of the form

$$\varphi : A^* \rightarrow G$$

where G is a cyclic group and $\varphi(a) = \varphi(b)$ for all letters a and b .

This class forms a Im -variety of languages denoted **MOD**.

- MOD** is the Boolean algebra generated by languages of the form

$$(A^n)^* A^i, \text{ for } 0 \leq i < n$$

Example: the *lm*-variety **MOD**

- Consider the class of languages whose syntactic stamp is of the form

$$\varphi : A^* \rightarrow G$$

where G is a cyclic group and $\varphi(a) = \varphi(b)$ for all letters a and b .

This class forms a *lm*-variety of languages denoted **MOD**.

- MOD** is the Boolean algebra generated by languages of the form

$$(A^n)^* A^i, \text{ for } 0 \leq i < n$$

Stable submonoid

- Let $\varphi : A^* \rightarrow M$ be a (ordered) stamp. The set $\varphi(A)$ is an element of the monoid $\mathcal{P}(M)$ of subsets of M . Therefore, it has a unique idempotent power s such that

$$\varphi(A)^s = \varphi(A)^{2s}$$

- The set $\varphi(A)^s \cup \{1\}$ is a submonoid of M called the (ordered) **stable submonoid** of the stamp φ .
- s is the **stability index** of φ .

Part II

Logic on words

We consider the first-order logic on words with

- classical predicates on letters positions $(\mathbf{a})_{a \in A}$,
- the usual order on positions $<$.

A formula in $FO[<]$

$$\exists x \exists y (x < y) \wedge ax \wedge by$$

Interpretation on a word u :

There exist two integers $x < y$ such that, u contains an a in position x and a b in position y .

The set of all words satisfying this formula on a finite alphabet A is the language

$$A^* a A^* b A^*$$

Logic with modular predicates: $FO[< + MOD]$

To the logic defined before, we add two new symbols: the **modular predicates**

- A **unary** numerical predicate,

$$MOD_r^d$$

interpreted as the set of integers that are congruent to r modulo d .

- A **constant** symbol m interpreted as the last position in a word.

An example: The formula

$$\exists x \text{ MOD}_2^3 x \wedge \mathbf{b}x \wedge \text{MOD}_1^2 m$$

defines the language $(A^3)^* \mathbf{b}A^* \cap (A^2)^* A$.

Logic with modular predicates: $FO[< + MOD]$

To the logic defined before, we add two new symbols: the **modular predicates**

- A **unary** numerical predicate,

$$\text{MOD}_r^d$$

interpreted as the set of integers that are congruent to r modulo d .

- A **constant** symbol m interpreted as the last position in a word.

An example: The formula

$$\exists x \text{ MOD}_2^3 x \wedge \mathbf{b}x \wedge \text{MOD}_1^2 m$$

defines the language $(A^3)^* AbA^* \cap (A^2)^* A$.

Theorem (McNaughton-Papert 71, Schützenberger 65)

*A language is definable in $FO[<]$ iff its syntactic semigroup is **aperiodic**.*

Theorem (Barrington, Compton, Straubing, Thérien 92)

*A language is definable in $FO[< + MOD]$ iff the stable subsemigroup of its syntactic stamp is **aperiodic**.*

Fragments of first-order logic

- Σ_1 denotes the set of **existential formulas**:

$$\exists x_1 \cdots \exists x_n \varphi(x_1, \cdots, x_n)$$

where φ is quantifier-free.

- $\mathcal{B}\Sigma_1$ denotes the set of **Boolean combinations** of Σ_1 -formulas.

Expressive power of $\Sigma_1[< + MOD]$

Given a class of languages \mathcal{L} , the **polynomial closure** $\text{Pol}(\mathcal{L})$ of \mathcal{L} is the class of finite unions of languages of the form

$$L_0 a_1 L_1 a_2 \cdots a_k L_k$$

with $L_0, \dots, L_k \in \mathcal{L}$ and a_1, \dots, a_k letters.

Proposition

A language is definable in $\Sigma_1[< + MOD]$ if and only if it belongs to $\text{Pol}(\text{Mod})$.

Decidability of $\Sigma_1[< + MOD]$

Theorem

A language belongs to $Pol(\mathcal{M}od)$ if and only if the *stable ordered monoid* of its ordered syntactic stamp satisfies the identity $x \leq 1$.

Corollary

The class $\Sigma_1[< + MOD]$ is decidable.

Theorem (Thomas 82, Perrin-Pin 86)

A language is definable in $\Sigma_1[<]$ iff its ordered syntactic monoid satisfies the identity $x \leq 1$.

Decidability of $\Sigma_1[< + MOD]$

Theorem

A language belongs to $Pol(\mathcal{M}od)$ if and only if the *stable ordered monoid* of its ordered syntactic stamp satisfies the identity $x \leq 1$.

Corollary

The class $\Sigma_1[< + MOD]$ is decidable.

Theorem (Thomas 82, Perrin-Pin 86)

A language is definable in $\Sigma_1[<]$ iff its ordered syntactic monoid satisfies the identity $x \leq 1$.

Part III

Wreath Product

Wreath product on monoids

$$M, N \rightarrow M \circ N$$

- Crucial operation on monoids that “codes” for **composition of sequential functions**.
- Essential tool to **decompose semigroups**:

Theorem (Krohne-Rhodes 64)

Any finite semigroup divides an alternating wreath product of finite groups and aperiodic semigroups.

Wreath product on monoids

$$M, N \rightarrow M \circ N$$

- Crucial operation on monoids that “codes” for **composition of sequential functions**.
- Essential tool to **decompose semigroups**:

Theorem (Krohne-Rhodes 64)

Any finite semigroup divides an alternating wreath product of finite groups and aperiodic semigroups.

Wreath product on varieties

$$\mathbf{V}, \mathbf{W} \rightarrow \mathbf{V} * \mathbf{W}$$

- **Tool:** Given a description of languages belonging to \mathbf{V} and \mathbf{W} , the **wreath product principle** provides a description of languages in $\mathbf{V} * \mathbf{W}$.
- **Problem:** If \mathbf{V}, \mathbf{W} are both decidable varieties, is $\mathbf{V} * \mathbf{W}$ decidable?
Answer: NO!

Wreath product on stamps

It's a very technical operation!

Let \mathbf{V}, \mathbf{W} be two \mathcal{C} -varieties of stamps. A (\mathbf{V}, \mathbf{W}) -product is a stamp $\varphi : A^* \rightarrow M$ such that:

- (1) M is a submonoid of a wreath product $N \circ K$.
- (2) Let $\pi : N \circ K \rightarrow K$ be the canonical projection. then the stamp $\pi \circ \varphi : A^* \rightarrow \pi(M)$ is in \mathbf{W} .
- (3) Given a in A , one can write $\varphi(a) = (f_a, \pi \circ \varphi(a))$ where f_a is in N^K . now, define the stamp

$$\Phi : (K \times A)^* \rightarrow \text{Im}(\Phi) \subseteq N$$

$$\text{by } \Phi(k, a) = f_a(k).$$

Then Φ is required to be in \mathbf{V} .

$\mathbf{V} * \mathbf{W}$ is the class of all stamps that \mathcal{C} -divide a (\mathbf{V}, \mathbf{W}) -product.

Wreath product on \mathcal{C} -varieties

- Here, the wreath product will remain a “black box“:

$$\mathbf{V}, \mathbf{W} \rightarrow \mathbf{V} * \mathbf{W}$$

- Nevertheless, the wreath product principle extends to \mathcal{C} -varieties (Esik-Ito 03, Chaubard-Pin-Straubing 05) .
- This new version of the wreath product principle yields

$$\text{Pol}(\text{Mod}) = \mathbf{J}^+ * \mathbf{MOD}$$

Whence

$$\Sigma_1[\langle + \text{MOD} \rangle] = \mathbf{J}^+ * \mathbf{MOD}$$

Wreath product on \mathcal{C} -varieties

- Here, the wreath product will remain a “black box“:

$$\mathbf{V}, \mathbf{W} \rightarrow \mathbf{V} * \mathbf{W}$$

- Nevertheless, the wreath product principle extends to \mathcal{C} -varieties (Esik-Ito 03, Chaubard-Pin-Straubing 05) .
- This new version of the wreath product principle yields

$$\text{Pol}(\text{Mod}) = \mathbf{J}^+ * \mathbf{MOD}$$

Whence

$$\Sigma_1[\langle + \text{MOD} \rangle] = \mathbf{J}^+ * \mathbf{MOD}$$

Wreath product on \mathcal{C} -varieties

- Here, the wreath product will remain a “black box“:

$$\mathbf{V}, \mathbf{W} \rightarrow \mathbf{V} * \mathbf{W}$$

- Nevertheless, the wreath product principle extends to \mathcal{C} -varieties (Esik-Ito 03, Chaubard-Pin-Straubing 05) .
- This new version of the wreath product principle yields

$$\text{Pol}(\text{Mod}) = \mathbf{J}^+ * \mathbf{MOD}$$

Whence

$$\Sigma_1[< + \text{MOD}] = \mathbf{J}^+ * \mathbf{MOD}$$

Part IV

Deciding $\mathcal{BS}\Sigma_1[\lt + MOD]$

Languages of $\mathcal{B}\Sigma_1[< + MOD]$

Theorem (Simon 72, Thomas 82)

A language is definable in $\mathcal{B}\Sigma_1[<]$ iff its syntactic monoid is in \mathbf{J} .

Boolean combinations of languages in $\text{Pol}(\text{Mod})$.

The extended wreath product principle provides the following algebraic characterisation:

Theorem

A language is a Boolean combination of languages in $\text{Pol}(\text{Mod})$ iff its syntactic stamp belongs to the Im -variety $\mathbf{J} * \text{MOD}$.

decidability???

Languages of $\mathcal{BS}\Sigma_1[< + MOD]$

Theorem (Simon 72, Thomas 82)

A language is definable in $\mathcal{BS}\Sigma_1[<]$ iff its syntactic monoid is in \mathbf{J} .

Boolean combinations of languages in $\text{Pol}(\text{Mod})$.

The extended wreath product principle provides the following algebraic characterisation:

Theorem

A language is a Boolean combination of languages in $\text{Pol}(\text{Mod})$ iff its syntactic stamp belongs to the Im -variety $\mathbf{J} * \text{MOD}$.

decidability???

Languages of $\mathcal{B}\Sigma_1[< + MOD]$

Theorem (Simon 72, Thomas 82)

A language is definable in $\mathcal{B}\Sigma_1[<]$ iff its syntactic monoid is in \mathbf{J} .

Boolean combinations of languages in $\text{Pol}(\mathcal{M}od)$.

The extended wreath product principle provides the following algebraic characterisation:

Theorem

*A language is a Boolean combination of languages in $\text{Pol}(\mathcal{M}od)$ iff its syntactic stamp belongs to the lm -variety $\mathbf{J} * \mathbf{MOD}$.*

decidability???

Languages of $\mathcal{B}\Sigma_1[< + MOD]$

Theorem (Simon 72, Thomas 82)

A language is definable in $\mathcal{B}\Sigma_1[<]$ iff its syntactic monoid is in \mathbf{J} .

Boolean combinations of languages in $\text{Pol}(\text{Mod})$.

The extended wreath product principle provides the following algebraic characterisation:

Theorem

*A language is a Boolean combination of languages in $\text{Pol}(\text{Mod})$ iff its syntactic stamp belongs to the Im -variety $\mathbf{J} * \text{MOD}$.*

decidability???

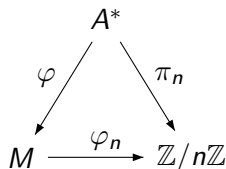
Derived category

- Given an integer n , let $\pi_n : A^* \rightarrow \mathbb{Z}/n\mathbb{Z}$ be the stamp defined by

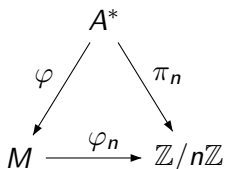
$$\pi_n(u) = |u| \bmod n$$

- let $\varphi : A^* \rightarrow M$ be a stamp. We consider the relational morphism

$$\varphi_n = \pi_n \circ \varphi^{-1}$$



Derived category of φ_n



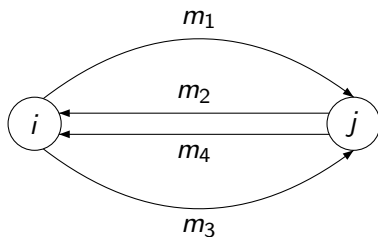
Consider the graph $C_n(\varphi)$ whose vertices are elements of $\mathbb{Z}/n\mathbb{Z}$ and whose edges are the triplets

$$(i, m, j) \text{ such that } j - i \in \varphi_n(m)$$

" m has an inverse image by φ whose length is congruent to $j - i$ modulo n ."

Knast's equation

The graph $C_n(\varphi)$ satisfies **Knast's equation** if for all pattern in $C_n(\varphi)$ of the form



we have

$$(m_1 m_2)^\omega (m_3 m_4)^\omega = (m_1 m_2)^\omega m_1 m_4 (m_3 m_4)^\omega$$

Derived category theorem on stamps

Theorem (Chaubard-Pin-Straubing 06)

A stamp φ belongs to $\mathbf{J} * \mathbf{MOD}$ if and only if there exists a positive integer n such that $C_n(\varphi)$ satisfies Knast's equation.

This result is adapted (in two different ways!) from the derived category theorem on monoids.

Decidability of $\mathcal{B}\Sigma_1[< +_{MOD}]$

Theorem

let φ be a stamp with stability index s . Then φ belongs to $\mathbf{J} * \mathbf{MOD}$ if and only if $C_s(\varphi)$ satisfies Knast's equation.

Corollary

The class $\mathcal{B}\Sigma_1[< +_{MOD}]$ is decidable.

- We have proved decidability of both classes **but we have no idea of their complexity!**
- For Σ_1 , we have *lm*-identities, but they do not translate easily into an algorithm.
- For $\mathcal{B}\Sigma_1$, we don't even have identities!
- Open and relevant question: If \mathbf{V} is decidable, is $\mathbf{V} * \mathbf{MOD}$ decidable?