## Multi-modal Human-Computer Interaction

#### Attila Fazekas

Attila.Fazekas@inf.unideb.hu



### Debrecen – Big Church



# University of Debrecen Main Building



#### Road Map

- Defining multimodal interaction
- Main categories and history of multimodal systems
- → Benefits of multimodal interfaces
- → Examples
- Theoretical background

### Defining Multimodal Interaction<sup>1</sup>

- → There are two views on multimodal interaction:
  - The first focuses on the human side: perception and control. There the word modality refers to human input and output channels.

<sup>&</sup>lt;sup>1</sup>L. Schomaker et all, A Taxonomy of Multimodal Interaction in the Human Information Processing System. A Report of the Espirit Basic Research Action 8579 MIAMI. February, 1995

The second view focuses on using two or more computer input or output modalities to build system that make synergistic use of parallel input or output of these modalities.

## Multimodal Interaction: A Human-Centered View<sup>2</sup>

- → The focus is on multimodal perception and control, that is, human input and output channels.
- → Perception means the process of transforming sensory information to higher-level representation.

<sup>&</sup>lt;sup>2</sup>L. Schomaker et all, A Taxonomy of Multimodal Interaction in the Human Information Processing System. A Report of the Espirit Basic Research Action 8579 MIAMI. February, 1995

# The Modalities From a Neurobiological Point of View <sup>3</sup>

- → We can divide the modalities in seven groups
  - Internal chemical (blood oxygen, glucose, pH)
  - External chemical (taste, smell)
  - Somatic senses (touch, pressure, temperature, pain)

<sup>&</sup>lt;sup>3</sup>E.R. Kandel and J.R. Schwartz, Principles of Neural Sciencies. Elsevier Science Publisher, 1981.

- Muscle sense (stretch, tension, join position)
- Sense of balance
- Hearing
- Vision

# Multimodal Interaction: A System-Centered View<sup>4</sup>

■ In computer science multimodal user interfaces have been defined in many ways. Chatty gives a summary of definitions for multimodal interaction by explaining that most authors defined systems that

<sup>&</sup>lt;sup>4</sup>S. Chatty, Extending a graphical toolkit for two-handed interaction, ACM UIST'94 Symposium on User Interface Software and Technology, ACM Press, 1994, 195–204.

- multiple input devices (multi-sensor interaction),
- multiple interpretations of input issued through a single device.
- Chatty's explanation of multimodal interaction is the one that most computer scientist use. With the term multimodal user interface they mean a system that accepts many different inputs that are combined in a meaningful way.

### Definition of the Multimodality<sup>5</sup>

→ "Multimodality is the capacity of the system to communicate with a user along different types of communication channels and to extract and convey meaning automatically."

<sup>&</sup>lt;sup>5</sup>L. Nigay and J. Coutaz, A design space for multimodal systems: concurrent processing and data fusion. Human Factors in Computer Systems, INTERCHI'93 Conference Proceedings, ACM Press, 1993, 172-178.

- → Both multimedia and multimodal systems use multiple communication channels. But a multimodal system strives for meaning.
- → For example, an electronic mail system that supports voice and video clips is not multimodal if it only transfer them and does not interpret the inputs.

# Two Main Categories of Multimodal Systems

- → The goal is to use the computer as a tool.
- → The computer as a dialogue partner.

## The History of Multimodal User Interfaces<sup>6</sup>

- → Morton Heiling's Sensorama. Virtual reality systems are also quite different from multimodal user interfaces.
- → Bolt's Put-That-There system. In this system the user could move objects on screen by pointing and speaking.

<sup>&</sup>lt;sup>6</sup>R. Raisamo, Multimodal Human-Computer Interaction: a constructive and empirical study, Academic Dissertation, University of Tampere, Tampere, 1999.

- → CUBRICON is a system that uses mouse pointing and speech.
- Oviatt presented a multimodal system for dynamic interactive maps.
- → Digital Smart Kiosk.

## Benefits of Multimodal Interfaces<sup>7</sup>

- → Efficiency follows from using each modality for the task that it is best suited for.
- → Redundancy increases the likelihood that communication proceeds smoothly because there are many simultaneous references to the same issue.

<sup>&</sup>lt;sup>7</sup>M.T. Maybury and W. Wahlster (Eds.), Readings in Intelligent User Interfaces, Morgan Kaufmann Publisher, 1998.

→ Perceptability increas when the tasks are facilitated in spatial context.

→ Naturalness follows from the free choice of modalities and may result in a human-computer communication that is close to human-human communication.

Accuracy increases when another modality can indicate an object more accurately than the main modality.

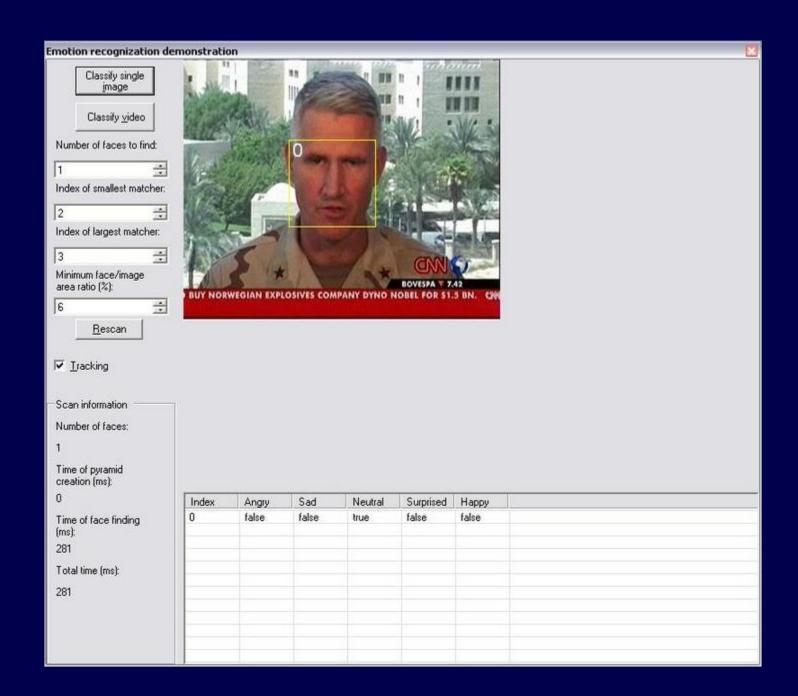
→ Synergy occurs when one channel of communication can help refine imprecision, modify the meaning, or resolve ambihuities in another channel.

### **Applications**

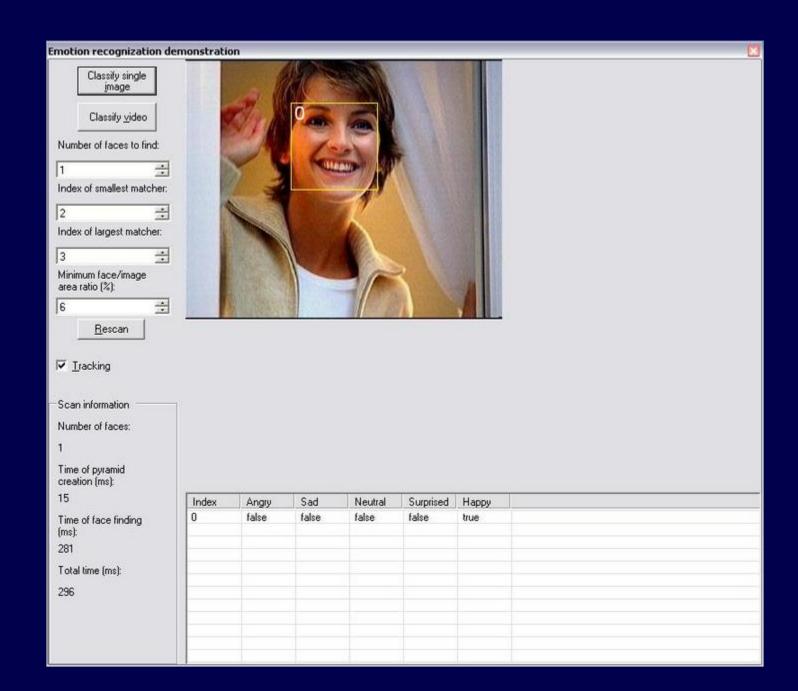
- → T-Com access point
- → Mobile telecommunication
- → Hands-free devices to computers
- → Using in a car
- → Interactive information panel



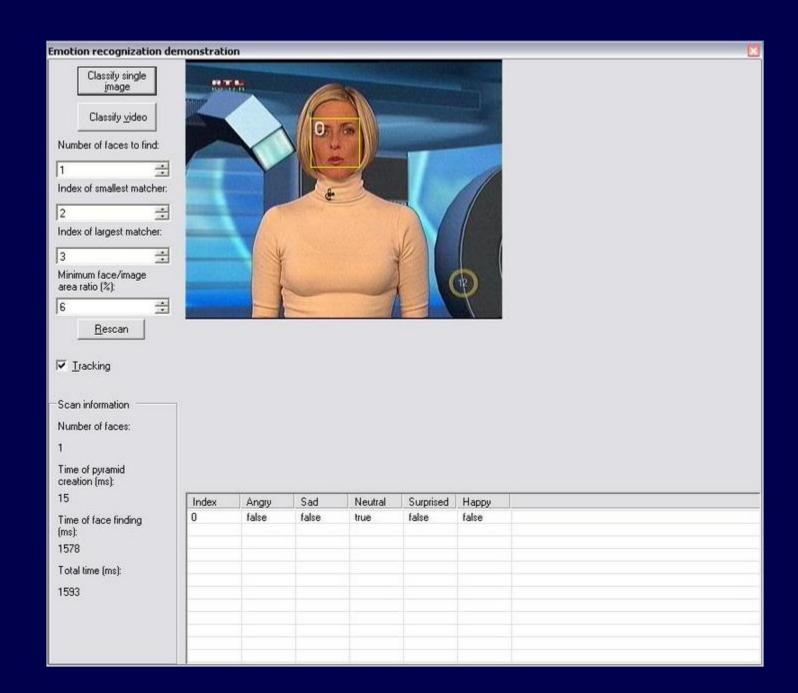


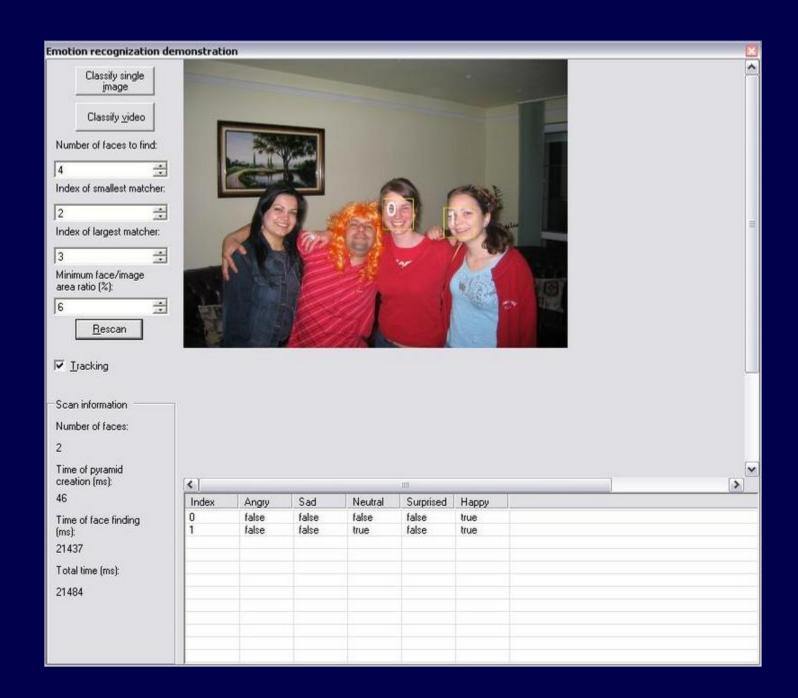












### **Theoretical Background**

#### Introduction

- → Faces are our interfaces in our emotional and social live.
- Automatic analysis of facial gestures is rapidly becoming an area of interest in multi-modal human-computer interaction.
- → Basic goal of this area of research is a human-like description of shown facial expression.

→ The solution of this problem can be based on the idea of some face detection approaches.

### Related Research Topics

- → Face detection (one face/image)
- → Face localization (more faces/image)
- → Facial feature detection (eyes, mouth, etc.)
- → Facial expression recognition
- → Face recognition, face identification

### → Face tracking

#### Problems of the Face Detection

- → Pose: The images of a face vary due to the relative camera-face pose.
- → Presence or absence of structural components (beards, mustaches, glasses etc.).
- → Facial expression: The appearance of faces are directly affected by the facial expression.

- → Occlusion: Faces may be partially occluded by other objects.
- → Image orientation: Face images vary for different rotations about the optical axis of the camera.
- → Imaging conditions (lighting, background, camera characteristics).

### Detecting Faces in a Single Image

- → Knowledge-based methods (G. Yang and T.S. Huang, 1994).
- → Feature invariant approaches (T. K. Leung, M. C. Burl, and P. Perona, 1995), (K. C. Yow and R. Cipolla, 1996).
- → Template matching methods (A. Lanitis, C. J. Taylor, and T. F. Cootes, 1995).

Appearance-based methods (E. Osuna, R. Freund, and F. Girosi, 1997), (A. Fazekas, C. Kotropoulos, I. Pitas, 2002).

### Detecting Faces in a Single Image

- → Scanning of the picture by a running window in a multiresolution pyramid.
- → Normalize of the window.
- → Hide some parts of the face.
- → Normalize of the local variance of the brightness on the picture.

- → Equalization of the histogram.
- → Localization of the face (decision).

# Face Gesture Recognition like Binary Classification Problem

- → Let us consider a set of the facial pictures.
- Let us set up a finite system of some features related the pictures.
- → It is known any pictures is related to only one class: face with the given gesture, face without the given gesture.

- → The problem to find a method to determine the class of the examined picture.
- → One possible way to solve this problem: Support Vector Machine.

### **Support Vector Machine**

- Statistical learning from examples aims at selecting from a given set of functions  $\{f_{\alpha}(\mathbf{x}) \mid \alpha \in \Lambda\}$ , the one which predicts best the correct response.
- → This selection is based on the observation of l pairs that build the training set:

$$(\mathbf{x}_1, y_1), \dots, (\mathbf{x}_l, y_l), \ \mathbf{x}_i \in \mathbb{R}^m, y_i \in \{+1, -1\}$$

which contains input vectors  $\mathbf{x}_i$  and the associated ground "truth" given by an external supervisor.

- Let the response of the learning machine  $f_{\alpha}(\mathbf{x})$  belongs to a set of indicator functions  $\{f_{\alpha}(\mathbf{x}) \mid \mathbf{x} \in \mathbb{R}^m, \alpha \in \Lambda\}.$
- → If we define the loss-function:

$$L(y, f_{\alpha}(\mathbf{x})) = \begin{cases} 0, & \text{if } y = f_{\alpha}(\mathbf{x}), \\ 1, & \text{if } y \neq f_{\alpha}(\mathbf{x}). \end{cases}$$

The expected value of the loss is given by:

$$R(\alpha) = \int L(y, f_{\alpha}(\mathbf{x})) p(\mathbf{x}, y) d\mathbf{x} dy,$$

where  $p(\mathbf{x}, y)$  is the joint probability density function of random variables  $\mathbf{x}$  and y.

- We would like to find the function  $f_{\alpha_0}(\mathbf{x})$  which minimizes the risk function  $R(\alpha)$ .
- → The basic idea of SVM to construct the optimal separating hyperplane.

Suppose that the training data can be separated by a hyperplane,  $f_{\alpha}(\mathbf{x}) = \alpha^T \mathbf{x} + b = 0$ , such that:

$$y_i(\alpha^T \mathbf{x}_i + b) \ge 1, \ i = 1, 2, \dots, l$$

where  $\alpha$  is the normal to the hyperplane.

→ For the linearly separable case, SVM simply seeks for the separating hyperplane with the largest margin.

- → For linearly nonseparable data, by mapping the input vectors, which are the elements of the training set, into a high-dimensional feature space through so-called kernel function.
- → We construct the optimal separating hyperplane in the feature space to get a binary decision.

### **Experimental Results**

- → For all experiments the package SVMLight developed by T. Joachims was used. For complete test, several routines have been added to the original toolbox.
- → The database recorded by our institute was used.

- → Training set of 40 images (20 faces with the given gesture, 20 faces without the given gesture.).
- → All images are recorded in 256 grey levels.
- $\rightarrow$  They are of dimension  $640 \times 480$ .
- → The procedure for collecting face patterns is as follows.

- → A rectangle part of dimension  $256 \times 320$  pixels has been manually determined that includes the actual face.
- This area has been subsampled four times. At each subsampling, non-overlapping regions of  $2 \times 2$  pixels are replaced by their average.

- → The training patterns of dimension  $16 \times 20$  are built.
- The class label +1 has been appended to each pattern.
- Similarly, 20 non-face patterns have been collected from images in the same way, and labeled -1.

#### **Facial Gesture Database**



Surprising face



Smiling face



Sad face



Angry face

# Classification Error on Facial Gesture Database

Angry	Нарру	Sad	Serial	Suprised
22.4%	10.3%	11.8%	9.4%	18.9%