

Decomposition of Weighted Multioperator Tree Automata

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Szeged, May 30th, 2006

Outline

- 1 Motivation
- 2 Weighted Multioperator Tree Automata
- 3 Decomposition

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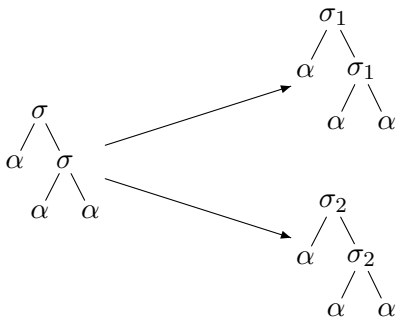
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- 2 Weighted Multioperator Tree Automata
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Introductory Example

- Ranked alphabet $\Sigma = \{\alpha^{(0)}, \sigma^{(2)}\}$
- Tree transformation:
 - replace every σ by σ_1 or
 - replace every σ by σ_2

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Use a Bottom-Up Tree Transducer

- State set: $\{q_1, q_2\}$, both accepting
- Rule set:

$$r_1 \quad \boxed{\alpha \rightarrow \begin{array}{c} q_1 \\ | \\ \alpha \end{array}}$$

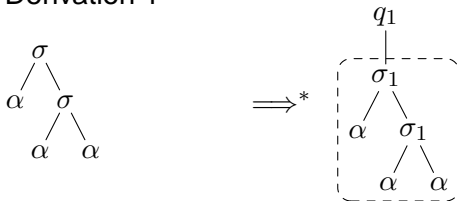
$$r_2 \quad \boxed{\alpha \rightarrow \begin{array}{c} q_2 \\ | \\ \alpha \end{array}}$$

$$r_3 \quad \boxed{\begin{array}{ccc} & \sigma & \\ & / \quad \backslash & \\ q_1 & & q_1 \\ | & & | \\ x_1 & & x_2 \end{array} \rightarrow \begin{array}{c} q_1 \\ | \\ \sigma_1 \\ / \quad \backslash \\ x_1 \quad x_2 \end{array}}$$

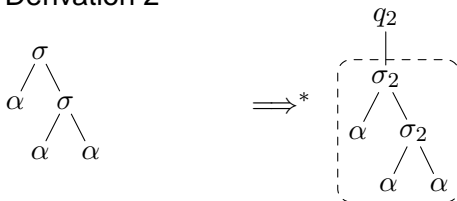
$$r_4 \quad \boxed{\begin{array}{ccc} & \sigma & \\ & / \quad \backslash & \\ q_2 & & q_2 \\ | & & | \\ x_1 & & x_2 \end{array} \rightarrow \begin{array}{c} q_2 \\ | \\ \sigma_2 \\ / \quad \backslash \\ x_1 \quad x_2 \end{array}}$$

Example Derivation

- Derivation 1

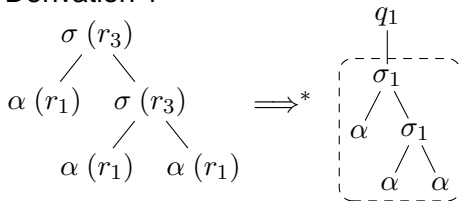


- Derivation 2



Example Derivation

- Derivation 1



- Derivation 2

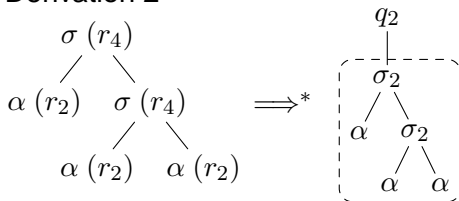


Illustration of the Decomposition

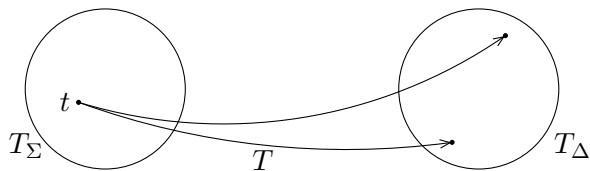


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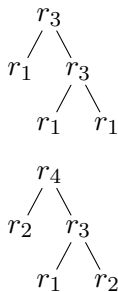
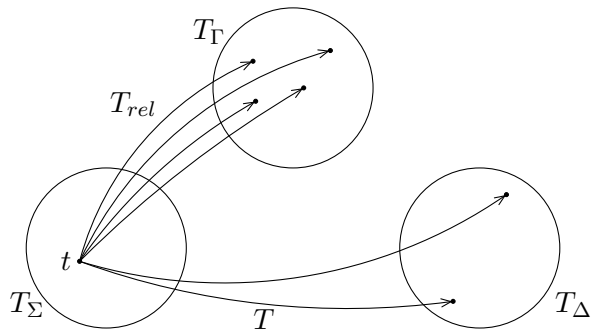


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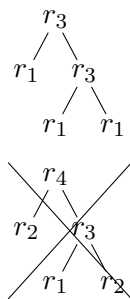
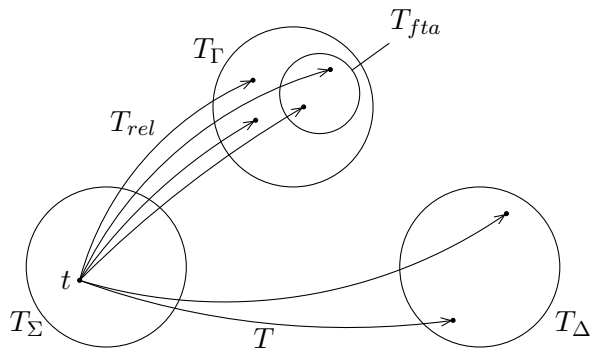
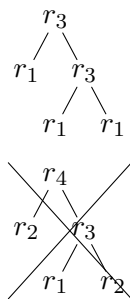
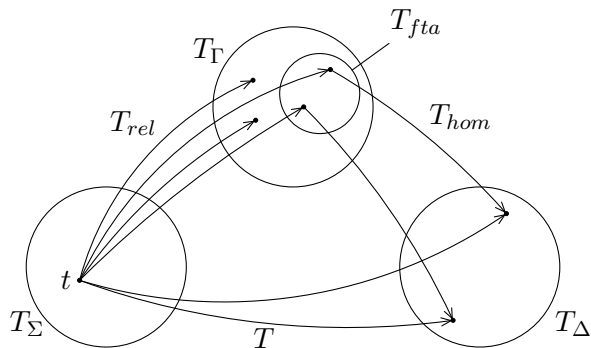


Illustration of the Decomposition



Decomposed Tree Transducer

- Tree Transducer T_{rel}

- state set: $\{*\}$
- new output alphabet: $\Gamma = \{r_1, r_2, r_3, r_4\}$
- $\alpha \rightarrow *(r_1) \quad \sigma(*(x_1), *(x_2)) \rightarrow *(r_3(x_1, x_2))$
 $\alpha \rightarrow *(r_2) \quad \sigma(*(x_1), *(x_2)) \rightarrow *(r_4(x_1, x_2))$

- Tree Transducer T_{fia}

- state set: $\{q_1, q_2\}$
- $r_1 \rightarrow q_1(r_1) \quad r_3(q_1(x_1), q_1(x_2)) \rightarrow q_1(r_3(x_1, x_2))$
 $r_2 \rightarrow q_2(r_2) \quad r_4(q_2(x_1), q_2(x_2)) \rightarrow q_2(r_4(x_1, x_2))$

- Tree Transducer T_{hom}

- state set: $\{*\}$
- $r_1 \rightarrow *(a) \quad r_3(*(x_1), *(x_2)) \rightarrow *(s_1(x_1, x_2))$
 $r_2 \rightarrow *(a) \quad r_4(*(x_1), *(x_2)) \rightarrow *(s_2(x_1, x_2))$

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- Tree Transducer T_{fta}

- state set: $\{q_1, q_2\}$

- $r_1 \rightarrow q_1(r_1)$ $r_3(q_1(x_1), q_1(x_2)) \rightarrow q_1(r_3(x_1, x_2))$

- $r_2 \rightarrow q_2(r_2)$ $r_4(q_2(x_1), q_2(x_2)) \rightarrow q_2(r_4(x_1, x_2))$

- Tree Transducer T_{hom}

- state set: $\{*\}$

- $r_1 \rightarrow *(α)$ $r_3(*(x_1), *(x_2)) \rightarrow *(σ_1(x_1, x_2))$

- $r_2 \rightarrow *(α)$ $r_4(*(x_1), *(x_2)) \rightarrow *(σ_2(x_1, x_2))$

Decomposed Tree Transducer

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- state set: $\{*\}$

- $r_1 \rightarrow *(\alpha)$ $r_3(*(x_1), *(x_2)) \rightarrow *(\sigma_1(x_1, x_2))$

- $r_2 \rightarrow *(\alpha)$ $r_4(*(x_1), *(x_2)) \rightarrow *(\sigma_2(x_1, x_2))$

History of Results

Theorem (Engelfriet '75)

$$BOT_{tt} \subseteq REL_{tt} \circ FTA_{tt} \circ HOM_{tt}$$

$$BOT_{tt} \supseteq REL_{tt} \circ FTA_{tt} \circ HOM_{tt}$$

- Nivat '68
Decomposition of generalized sequential machines
- Engelfriet, Fülöp, Vogler '02
Decomposition of bottom-up tree series transducers

Prove a similar result for weighted multioperator tree automata!

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Basic Notions

- ranked alphabet (Σ, rk_Σ)
- let Σ ranked alphabet
 - trees over Σ : T_Σ
 - $\Sigma = \{\alpha^{(0)}, \sigma^{(2)}\}$
 $\rightsquigarrow T_\Sigma = \{\alpha, \sigma(\alpha, \alpha), \sigma(\alpha, \sigma(\alpha, \alpha)), \dots\}$
- let $t \in T_\Sigma$
 - $pos(t) \subseteq \mathbb{N}^*$
 - $t = \sigma(\alpha, \sigma(\alpha, \alpha))$
 $\rightsquigarrow pos(t) = \{\varepsilon, 1, 2, 21, 22\}$
- let $p \in pos(t)$
 - label $t(p)$
 - $p = 21 \rightsquigarrow t(p) = \alpha$

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Multioperator Monoids

Definition ($Ops(A)$)

Let A be a set, k natural number.

- $Ops^k(A)$: k -ary operations on A ,
- $Ops(A)$: operations on A .

Definition (Multioperator monoid)

A multioperator monoid (M-monoid) is a tuple $\underline{A} = (A, \oplus, 0_A, \Omega)$, where

- $(A, \oplus, 0_A)$ is a commutative monoid,
- $\Omega \subseteq Ops(A)$.

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Top Concatenation

Let Σ ranked alphabet, $k \in \mathbb{N}$, $\sigma \in \Sigma^{(k)}$

Definition (Top Concatenation)

Let $t_1, \dots, t_k \in T_\Sigma$.

$$\bar{\sigma}(t_1, \dots, t_k) = \sigma(t_1, \dots, t_k).$$

Definition (Language Top Concatenation)

Let $L_1, \dots, L_k \subseteq T_\Sigma$.

$$\bar{\sigma}^L(L_1, \dots, L_k) = \{\sigma(t_1, \dots, t_k) \mid t_i \in L_i\}.$$

- Drop the L in $\bar{\sigma}^L$.
- $L_1 = \{\alpha_1, \beta_1\}, L_2 = \{\alpha_2, \beta_2\}$
 $\rightsquigarrow \bar{\sigma}(L_1, L_2) = \{\sigma(\alpha_1, \alpha_2), \sigma(\beta_1, \alpha_2), \sigma(\alpha_1, \beta_2), \sigma(\beta_1, \beta_2)\}$

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Bottom-Up Weighted Multioperator Tree Automata

Definition (Bottom-Up Weighted Multioperator Tree Automaton)

$M = (Q, \Sigma, \underline{A}, \mu, F)$ **bottom-up weighted multioperator tree automaton (wta)** iff

- Q finite set (of *states*)
- Σ ranked alphabet
- $\underline{A} = (A, \oplus, 0_A, \Omega)$ M-monoid
- $\mu = (\mu_k)_{k \in \mathbb{N}}$ with $\mu_k : \Sigma^k \rightarrow (\Omega^{(k)})^{Q^k \times Q}$
- $F \in (\Omega^{(1)})^Q$

Tree Series

Definition (Tree Series)

Let Σ ranked alphabet, $\underline{A} = (A, \oplus, 0_A, \Omega)$ M-monoid.

A **tree series over T_Σ and A** is a mapping $\varphi : T_\Sigma \rightarrow A$.

Notation:

- (φ, s) denotes $\varphi(s)$,
- $A\langle\langle T_\Sigma \rangle\rangle$ set of tree series over T_Σ and A .

Semantics (1)

Let $(Q, \Sigma, \underline{A}, \mu, F)$ be wta, $t \in T_\Sigma$.

Definition (Run)

A **run of M on t** is a mapping $r : pos(t) \rightarrow Q$.

$R_M(t)$ set of all runs of M on t .

Definition (Weight of a run)

Let $r \in R_M(t)$. Define **weight mapping** $C_r : pos(t) \rightarrow A$:

$$\begin{aligned} C_r(p) \\ = \mu_k(t(p))_{r(p_1) \dots r(p_k), r(p)}(C_r(p_1), \dots, C_r(p_k)), \end{aligned}$$

where $k = rk(t(p))$.

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where $k = rk(t(p))$.

Semantics(2)

Let $M = (Q, \Sigma, \underline{A}, \mu, F)$ be wta

Definition (Run Semantics)

Tree series $\varphi_M \in A \langle\langle T_\Sigma \rangle\rangle$ recognized by M

$$(\varphi_M, t) = \bigoplus_{r \in R_M(t)} F_{r(\varepsilon)}(C_r(\varepsilon)) .$$

Example

Example

$M_{ex} = (Q, \Sigma, \underline{A}, \mu, F)$ with

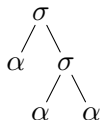
- $Q = \{q_1, q_2\}$,
- $\Sigma = \{\alpha^{(0)}, \sigma^{(2)}\}$,
- $\underline{A} = (\mathcal{P}(T_\Delta), \cup, \emptyset, \{id_{\mathcal{P}(T_\Delta)}, \tilde{\emptyset}^{(2)}, r_1, r_2, r_3, r_4\})$,
 - $\Delta = \{\alpha^{(0)}, \sigma_1^{(2)}, \sigma_2^{(2)}\}$
 - $r_1 = r_2$ is the constant $\{\alpha\}$,
 - $r_3 : (L_1, L_2) \mapsto \overline{\sigma}_1(L_1, L_2)$,
 - $r_4 : (L_1, L_2) \mapsto \overline{\sigma}_2(L_1, L_2)$,

Example (cont'd)

- $\mu = (\mu_k)_{k \in \mathbb{N}}$,
 - $\mu_0(\alpha)_{\varepsilon, q_1} = r_1$
 - $\mu_0(\alpha)_{\varepsilon, q_2} = r_2$,
 - $\mu_2(\sigma)_{q_1 q_1, q_1} = r_3$,
 - $\mu_2(\sigma)_{q_2 q_2, q_2} = r_4$,
 - otherwise $\mu_2(\sigma)_{--, -} = \tilde{\emptyset}^{(2)}$
- $F_{q_1} = F_{q_2} = id_{\mathcal{P}(T_{\Delta})}$.

Example Computation (1)

- Given input tree:



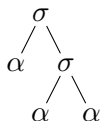
- Example run r :

- Weight mapping of r :

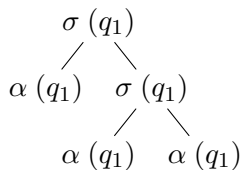
- Result:

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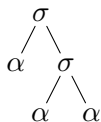


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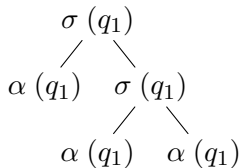
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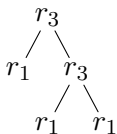
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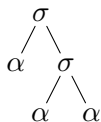
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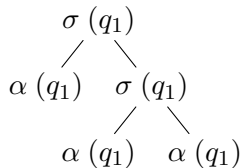
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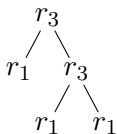
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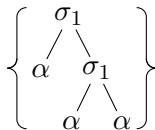
- Example run r :



- Weight mapping of r :



- Result:



Example Computation (2)

- Summing up over all runs:

$$\left\{ \begin{array}{c} \sigma_1 \\ \swarrow \quad \searrow \\ \alpha \quad \sigma_1 \\ \swarrow \quad \searrow \\ \alpha \quad \alpha \end{array} , \quad \begin{array}{c} \sigma_2 \\ \swarrow \quad \searrow \\ \alpha \quad \sigma_2 \\ \swarrow \quad \searrow \\ \alpha \quad \alpha \end{array} \right\}$$

Theorem (Maletti '05)

Every bottom-up tree transducer can be simulated by a wta.

Example Computation (2)

- Summing up over all runs:

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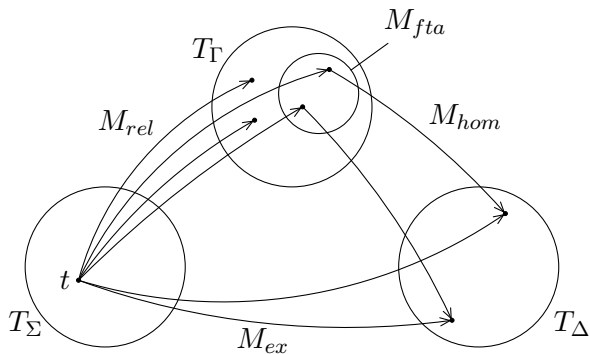
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Decomposition of M_{ex}



Decomposition (1)

- Define ranked alphabet

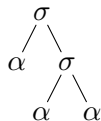
$$\Gamma = \{(\alpha, q_1), (\alpha, q_2)\} \cup \{(\sigma, p_1, p_2, q) \mid p_1, p_2, q \in Q\}.$$

- Define a relabeling wta

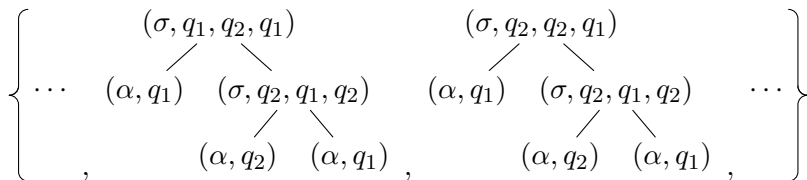
$M_{rel} = (\{*\}, \Sigma, \underline{A}_{rel}, \mu_{rel}, F_{rel})$ where

- $\underline{A}_{rel} = (\mathcal{P}(T_\Gamma), \cup, \emptyset, \{id_{\mathcal{P}(T_\Gamma)}, \tilde{\alpha}, \tilde{\sigma}\})$
 - $\tilde{\alpha} = \{(\alpha, q_1), (\alpha, q_2)\},$
 - $\tilde{\sigma}(L_1, L_2) = \bigcup_{p_1, p_2, q \in Q} \overline{(\sigma, p_1, p_2, q)}(L_1, L_2)$
- μ_{rel} :
 - $\mu_{rel}(\alpha)_{\varepsilon, *} = \tilde{\alpha},$
 - $\mu_{rel}(\sigma)_{**, *} = \tilde{\sigma},$
- $(F_{rel})_* = id_{\mathcal{P}(T_\Gamma)}.$

Example Computation of M_{rel}



$\rightsquigarrow (M_{rel})$



Decomposition (2)

- Define finite state tree automaton

$$M_{fta} = (Q, \Gamma, \underline{A}_{fta}, \mu_{fta}, F_{fta})$$

- $\underline{A}_{fta} = (\mathcal{P}(T_\Gamma), \cup, \emptyset, \{\tilde{\emptyset}^{(0)}, \tilde{\emptyset}^{(2)}, \bar{\alpha}, \bar{\sigma}\})$,
- μ_{fta} : for every $q, q', p_1, p'_1, p_2, p'_2 \in Q$:
 - $\mu_{fta}((\alpha, q))_{\varepsilon, q'} = \begin{cases} \bar{\alpha}, & q = q'; \\ \tilde{\emptyset}^{(0)}, & q \neq q' \end{cases}$
 - $\mu_{fta}((\sigma, p_1, p_2, q))_{p'_1 p'_2, q'} = \begin{cases} \bar{\sigma}, & q = q' \wedge p_1 = p'_1 \wedge p_2 = p'_2; \\ \tilde{\emptyset}^{(2)}, & \text{otherwise} \end{cases}$
- $(F_{fta})_q = id_{\mathcal{P}(T_\Gamma)}$ for every $q \in Q$.

Example Computation of M_{fta}

$$\left\{ \dots \left(\begin{array}{cc} (\sigma, q_1, q_2, q_1) & (\sigma, q_2, q_2, q_1) \\ / \quad \backslash & / \quad \backslash \\ (\alpha, q_1) & (\sigma, q_2, q_1, q_2) & (\alpha, q_1) & (\sigma, q_2, q_1, q_2) \\ & / \quad \backslash & & / \quad \backslash \\ & (\alpha, q_2) & (\alpha, q_1) & (\alpha, q_2) & (\alpha, q_1) \end{array} \right) \dots \right\}$$

$\rightsquigarrow (M_{fta})$

$$\left\{ \dots \left(\begin{array}{cc} (\sigma, q_1, q_2, q_1) & \\ / \quad \backslash & \\ (\alpha, q_1) & (\sigma, q_2, q_1, q_2) \\ & / \quad \backslash \\ & (\alpha, q_2) & (\alpha, q_1) \end{array} \right) \dots \right\}$$

Decomposition (3)

- Define homomorphism

$$M_{hom} = (\{*\}, \Gamma, \underline{A}, \mu_{hom}, F_{hom})$$

- μ_{hom} : for every $q, p_1, p_2 \in Q$:
 - $\mu_{hom}((\alpha, q))_{\varepsilon,*} = \mu(\alpha)_{\varepsilon,q}$,
 - $\mu_{hom}((\sigma, p_1, p_2, q))_{*,*} = \mu(\sigma)_{p_1 p_2, q}$,
- $(F_{hom})_* = id_A$.

Example Computation of M_{hom}

$$\left\{ \begin{array}{c} \dots \quad (\sigma, q_1, q_2, q_1) \quad \dots \\ \quad \quad \quad \diagdown \quad \diagup \\ (\alpha, q_1) \quad (\sigma, q_2, q_1, q_2) \\ \quad \quad \quad \diagup \quad \diagdown \\ \quad \quad (\alpha, q_2) \quad (\alpha, q_1) \end{array} \right\}$$

$\rightsquigarrow (M_{hom})$

$$\left\{ \begin{array}{c} \sigma_1 \\ \diagdown \quad \diagup \\ \alpha \quad \sigma_1 \\ \quad \quad \diagup \quad \diagdown \\ \quad \quad \alpha \quad \alpha \end{array} , \quad \begin{array}{c} \sigma_2 \\ \diagdown \quad \diagup \\ \alpha \quad \sigma_2 \\ \quad \quad \diagup \quad \diagdown \\ \quad \quad \alpha \quad \alpha \end{array} \right\}$$

Definition

Let \underline{A} be M-monoid.

- $BOT(\underline{A}) = \{\varphi_M \mid M \text{ is a wta over } \underline{A}\}$
- $HOM(\underline{A}) = \{\varphi_M \mid M \text{ is a homomorphism wta over } \underline{A}\}$
- $REL = \{\varphi_M \mid M \text{ is a relabeling wta}\}$
- $FTA = \{\varphi_M \mid M \text{ is a fta}\}$
- $BOT^f(\underline{A}) = \{\varphi_M \mid M \text{ is a fwp wta over } \underline{A}\}$
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Definition

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Summary

Main Result

Theorem (Stüber '06)

For every M -monoid \underline{A} :

$$BOT^f(\underline{A}) \subseteq REL \circ FTA \circ HOM^f(\underline{A}) .$$

This implies

Theorem (Engelfriet '75)

$$BOT_{tt} \subseteq REL_{tt} \circ FTA_{tt} \circ HOM_{tt}$$

because of

Theorem (Maletti '05)

Every bottom-up tree transducer can be simulated by a wta.

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Future work

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- For every M-monoid \underline{A}
 $BOT^f(\underline{A}) \supseteq REL \circ FTA \circ HOM^f(\underline{A})$.
- Determine all \underline{A} such that
 $BOT(\underline{A}) = REL \circ FTA \circ HOM(\underline{A})$.

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